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PTO/SB/21 (08-03)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/634,512
		Filing Date	August 4, 2003
		First Named Inventor	Cunningham, Shaun Joseph
		Art Unit	2811
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	9	Attorney Docket Number	022331-000220US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. Return Postcard;
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pgs) w/attached PTO/SB/08A (2 pgs), (citing references 1-26)	<input type="checkbox"/> CD, Number of CD(s)	2. A copy of the PCT Search Report (3 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>* Note: Reference not included in the total number of pages above</b>	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP Richard T. Ogawa	
Signature		
Date	7/20/04	

**CERTIFICATE OF TRANSMISSION/MAILING**

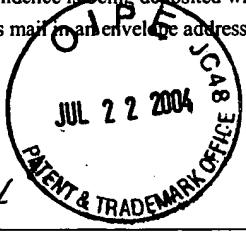
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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On 7-20-04



**PATENT**  
Attorney Docket No.: 022331-000220US

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas  
Edward Masinas

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Cunningham, Shaun Joseph

Application No.: 10/634,512

Filed: August 4, 2003

For: Method and Resulting Structure for  
Manufacturing Semiconductor Substrates

Examiner: Not Yet Assigned

Art Unit: 2811

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98**

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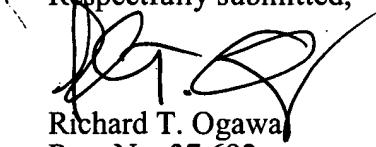
Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/389,278, filed March 13, 2003 (Attorney Docket No. 022331-000210US, formerly 021498-000210US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom. Also enclosed is a copy of the Search/Examination report corresponding to the PC application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Richard T. Ogawa  
Reg. No. 37,692

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60234676 v1



Substitute for form 1449A/PTO			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(use as many sheets as necessary)			
Sheet	1	of	2

Complete if Known	
Application Number	10/634,512
Filing Date	August 4, 2003
First Named Inventor	Cunningham, Shaun Joseph
Art Unit	2811
Examiner Name	Not Yet Assigned
Attorney Docket Number	022331-000220US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 3,689,993	09-12-1972	Tolar	
	AB	US 3,755,026	08-28-1973	Reynolds	
	AC	US 3,864,819	02-11-1975	Ying	
	AD	US 3,903,592	09-05-1975	Heckl	
	AE	US 3,913,215	10-21-1975	Heckl	
	AF	US 3,973,320	08-10-1976	Greco et al.	
	AG	US 4,856,702	08-15-1989	Kloucek	
	AH	US 5,292,685	03-08-1994	Riley et al.	
	AI	US 5,346,848	09-13-1994	Grupen-Shemansky et al.	
	AJ	US 5,389,803	02-14-1995	Mohammad	
	AK	US 5,399,512	03-21-1995	Mohammad et al.	
	AL	US 5,825,090	10-20-1998	Piccone	
	AM	US 5,536,361	07-16-1996	Kondo et al.	
	AN	US 5,985,739	11-16-1999	Plettner et al.	
	AO	US 6,093,577	07-25-2000	Groen et al.	
	AP	US 6,211,562 B1	04-03-2001	Forbes et al.	
	AQ	US 6,248,646 B1	06-19-2001	Okojie	
	AR	US 2002/0010389 A1	08-02-2001	Forbes et al.	
	AS	US 6,319,778 B1	11-20-2001	Chen	
	AT	US 2002/0137243 A1	09-26-2002	Chen et al.	
	AU	US 2002/0137244 A1	09-26-2002	Chen et al.	
	AV	US 6,468,824 B2	10-22-2002	Chen et al.	
	AW	US 6,555,405 B2	04-30-2003	Chen et al.	
	AX	US 2003/0178637 A1	09-25-2003	Chen et al.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/634,512
				Filing Date	August 4, 2003
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				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	022331-000220US

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup>			
	AY	JP	60-116749	06-24-1985	Sumitomo Denki Kogyo	
	AZ	PCT	WO 2003/077311	A1	Commonwealth Scientific and Industrial Organization	<input type="checkbox"/>

Examiner Signature	Date Considered
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